



Client Impact Preferences

The purpose of this questionnaire is to assist in identifying your most important social and ethical concerns. This information will help us as we work to reflect your concerns and priorities in the design of your investment portfolio.

This questionnaire focuses primarily on non-financial or extra-financial screening criteria, which is subjective and often requires compromise. We do our best with the information available to us; however, qualitative information about individual company policies, practices, and impacts can be difficult to obtain, and is always changing. Gathering and interpreting meaningful data regarding companies based outside of the United States is especially challenging, as government regulations, corporate disclosure, and reporting requirements differ widely from country to country.

You also may indicate your desire to participate in shareowner engagement. We recommend limiting these selections to issues of greatest concern. Shareowner engagement requires an additional commitment of resources—battles are chosen carefully, and results are not assured.

In addition, you may wish to consider earmarking a portion of your portfolio to community impact investing. This strategy directs dollars to areas where conventional capital is generally unavailable for affordable housing, small businesses, and other community needs.

Social Policy Questionnaire

Please complete this form to the best of your ability. Use a “✓” to indicate you’d like to support the practices/policies and an “X” to indicate you’d like to avoid supporting the practices/policies related to the following topics.

Animal Rights

- Animal Testing – Consumer Products
- Animal Testing – Human Health
- Animal Cruelty
- Factory Farming
- Meat and Dairy Producers

Fossil Fuel

- Coal
- Firms Financing Climate Change
- Infrastructure and Transportation
- Production (Ex-Coal)

Other Environmental Issues

- Agricultural Chemicals
- Genetically Modified food Organisms (GMOs)
- Mining – Ex Oil or Steel Production
- Nuclear Energy
- Utilities
- Sustainable/organic agriculture
- Alternative forestry
- Single Use Plastic
- Toxic Air Pollution

Renewable Energy

- Biofuel
- Geothermal
- Other Alternative Energy
- Positive Waste Management
- Solar
- Water
- Wind

Lifestyle Factors

- Adult Entertainment
- Alcohol Producers
- Fast Food
- Gambling
- Manufacturing of Contraceptives
- Abortion Exposure
- Opioid Producers
- Opioid Distributors
- Marijuana
- Pharmaceutical Producers Soda Producer and Distributors
- Stem Cell Producers/Genetic Research
- Tobacco

Military and Weapons

- Aerospace Defense
- Controversial Weapons
- Handguns
- Military Weapons
- NRA Affiliation
- Other Weapons

Prison and Detention

- Banks Supporting For-Profit Prisons
- For-Profit Prison Companies
- Immigrant Separation & Detention

Corporate Behavior

- 100 Best Companies to Work For
- Best Workplaces for Women
- LGBTQIA+ Discrimination
- Fair Labor Association Members
- Women Board of Directors 55% +

(questionnaire continues on next page)

Other Social Concerns

- Policies to narrow the gap between executive and worker pay
- Employee ownership, profit sharing, or extensive benefit plans
- High unionization/union representation in company decisions
- Policies designed to avoid profiting from child labor or sweatshops
- Family-friendly programs (e.g., family leave, on-site day care or elder care)
- Workplace safety
- Affordable housing
- U.S. Treasuries
- Chinese Exposure

- Community Impact Investing
- Policies supporting equal opportunity and benefits for:
 - Disabled Persons
 - Women
 - Minorities
 - Gay, Lesbian, Bisexual or Transgendered Persons
- Policies regarding elderly issues and age discrimination
- Russian Exposure

Additional Concerns and Preferences

Are there any specific companies, industries or asset classes that you would like us to seek out or avoid? Please describe your desired investment screens in the space below, taking care to include any specific issues not addressed above (attach additional sheets if needed).

Acknowledge and Review

I hereby acknowledge that the information I have provided above reasonably reflects my concerns and desires at this time. I understand that this Questionnaire does not make or imply any guarantee of the attainment of any objectives, but serves only to provide information upon which to base investment recommendations by my financial advisor and/or First Affirmative Financial Network.

Client Name (please print)

Client Name/Representative Name (please print)

Signature

Date

Signature

Date

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