

SOCIAL POLICY QUESTIONNAIRE



First Affirmative
Financial Network, LLC

The purpose of this questionnaire is to assist in identifying your most important social and ethical concerns. This information will help us as we work to reflect your concerns and priorities in the design of your investment portfolio.

This questionnaire focuses primarily on non-financial or extra-financial screening criteria, which is subjective and often requires compromise. We do our best with the information available to us; however, qualitative information about individual company policies, practices, and impacts can be difficult to obtain, and is always changing. Gathering and interpreting meaningful data regarding companies based outside of the United States is especially challenging, as government regulations, corporate disclosure, and reporting requirements differ widely from country to country.

You also may indicate your desire to participate in shareowner engagement. We recommend limiting these selections to issues of greatest concern. Shareowner engagement requires an additional commitment of resources—battles are chosen carefully, and results are not assured.

In addition, you may wish to consider earmarking a portion of your portfolio to community impact investing. This strategy directs dollars to areas where conventional capital is generally unavailable for affordable housing, small businesses, and other community needs.

Social Policy Issues

How inclined are you to seek out or avoid companies with a history of the following practices and policies?

Employee Relations and Diversity	seek	neutral	avoid	hold for advocacy
Policies to narrow the gap between executive and worker pay	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Employee ownership, profit sharing, or extensive benefit plans	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
High unionization/union representation in company decisions	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Policies designed to avoid profiting from child labor or sweatshops	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Family-friendly programs (e.g., family leave, on-site day care or elder care)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Policies supporting equal opportunity and benefits for:				
Disabled Persons	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Women	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Minorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Gay, Lesbian, Bisexual or Transgendered Persons	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Policies regarding elderly issues and age discrimination	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Workplace safety	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Environment

Programs to reduce waste or promote recycling	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Construction or operation of nuclear power plants	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Fossil fuel exploration or extraction	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Alternative energy research and development	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Modern industrial agriculture	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Genetic modification of food organisms	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Sustainable/organic agriculture	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Traditional forestry and resource extraction	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Alternative or sustainable forestry and resource management	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Other Quality of Life Issues

	seek	neutral	avoid	hold for advocacy
Tobacco product manufacture and/or distribution	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Alcoholic beverage production and/or distribution	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Gambling and gaming technology	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Genetic research for purposes of medicine and medical technology	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Programs designed to reduce health care costs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Affordable housing	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Policies designed to support development/maintenance of infrastructure	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Support of a woman's right to choose	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Pro-life policies	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Use of animals in developing/testing medicine and medical technology	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Use of animals in developing/testing consumer products	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Use of animals for meat, fur, or leather	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Weapons production and distribution	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Military contractors	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
U.S. Treasuries	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Additional Concerns and Preferences

Are there any specific companies, industries or asset classes that you would like us to seek out or avoid? Please describe your desired investment screens in the space below, taking care to include any specific issues not addressed above (attach additional sheets if needed).

Additional Strategies

Please indicate whether you would like to participate in shareowner engagement or earmark a portion of your portfolio to community impact investment strategies: Shareowner Engagement Community Impact Investing.

Acknowledge and Review

I hereby acknowledge that the information I have provided above reasonably reflects my concerns and desires at this time. I understand that this Questionnaire does not make or imply any guarantee of the attainment of any objectives, but serves only to provide information upon which to base investment recommendations by my financial advisor and/or First Affirmative Financial Network.

Client/Representative Name (please print)

Client Name (please print)

Signature

Signature

Date

Date

Title (e.g., trustee)

Title (e.g., trustee)